kotak Securities

Kotak Infinity, 8 th Floor, Bldg; No.21,Infinity Park, Off Western Express Highway, Goregaon Mulund Link Road, Malad – (E) ,
Mumbai – 400 097 (022) 4285 6825

DATE	D	D	M	M	Y	Y	Y	Y

For Office Use Only :	
CRM Query No :	

APPLICATION FOR CLOSING TRADING/ DEMAT ACCOUNT (Resident Individual)

Dear Sir/Madam,

*FATCA Declaration: I/We confirm that our Country of Birth/Nationality/Citizenship/Tax Residency/Address/Telephone number/ Address of POA or Mandate holder is of India ☐ Yes ☐ No. (If answer to your question is 'No', then please provide the complete FATCA declaration)

1. I / We hereby request you to close my/our account with you as per following details:

Name of the holder(s)	
Sole/ First Holder	
Second Holder	
Third Holder	

2. Reason/s for Closure of Trading/ depository account:_____

3. Client ID (of account to be closed)

Trading Code						Demat Account No .									DP ID : IN300214

4. Please tick the applicable option(s)

☐ Option A [There are no balances / holdings in this account]											
☐ Option B [Transfer the balances / holdings in this account as per details given]	☐ Transfer to my/our own account (Provide target account details and enclose Client Master Report of Target Account) ☐ Transfer to any other account (Submit duly filled Delivery Instruction Slip) o signed by allholder(s) or o signed by POA holder(s)	Target Account Details									
		☐ NSDL	DP ID								
		☐ CDSL	Client ID								
☐ Option C [Rematerialise / Reconvert (Submit duly filled Remat / Reconversion Request Form for mutual fund units)]											

5. Mobile number/ Landline Number _____

Please do the needful at the earliest and arrange to refund the balance (if any) in the said Trading account.

I agree with the Ledger balance And/ Or Stock lying in my trading code and Demat account as on the said date and have no dispute with respect to the said accounts

6. Signature(s)

Sole / First Holder | Second Holder | Third Holder

Instruction/Notes : 1. Please surrender all unutilized Instruction Slips along with this Closure Request. **2.** Account will be closed, subject to NO HOLDINGS and SIGNATURE VERIFICATION as on records. **3.** In case of joint holders, all holders must sign. **4.** Please clear the DP dues if any. **5.** In case of any Mutual Fund holdings at the time of closure, you may either redeem the same or get it transferred (Note: The ARN shall be changed from Kotak Securities Limited (KSL) to the target distributor's ARN, as specified by you or to the offline ARN of KSL if no ARN is mentioned by you on conversion request) **6.** In case of closure cum transfer case, the BO should submit duly certified (signed and stamped) Client Master Report (CMR) obtained from the target Participant. **7.** Please note that once your trading/demat account is closed, all active and running SIP's sourced through our portal/any online mode will be deemed to be cancelled with immediate effect. **8.** In case you have SGB in your demat account and wish to process inter-depository transfer, you are requested to give remat request to KSL along with closure documents. You are also requested to give demat request simultaneously to the CDSL Target DP for seamless transfer of SGB units. For any further details contact customer service

Acknowledgement

We hereby acknowledge the receipt of the your request for closing the following Account subject to verification:hving client ID - DP ID – IN300214

kotak Securities

Trading Code ☐☐☐☐☐ Client ID ☐☐☐☐☐☐☐☐ Date D D M M Y Y Y Y

Name of Sole / First Holder : _____

Name of Second Holder : _____

Name of Third Holder : _____

Signature of the Authorised Signatory

Seal/ Stamp of Participant

Your request will be processed within a tentative period of 10 working days from the date of receipt of complete documents. In case of queries regarding the status of the request, We request you to call on Customer Service No. 1800 209 9191. Demat related complaints write at ks.demat@kotak.com

For any other queries or complaints write at service.securities@kotak.com

Kotak Infinity Park, Off Western Express Highway, Gen A.K. Vaidya Marg, Malad (E), Mumbai - 400 097 Tel.: 91- 22-4285 6825

April 2021